

#### **PATENT**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 01-1663-A)

| In re A | application of:               | ) |                      |
|---------|-------------------------------|---|----------------------|
|         | James J. Storhoff, et al.     | ) |                      |
|         |                               | ) | Group Art Unit: 1645 |
| Serial  | No.: 10/612,422               | ) |                      |
|         |                               | ) | Examiner: TBA        |
| Filed:  | July 02, 2003                 | ) |                      |
|         |                               | ) |                      |
| For:    | NANOPARTICLE POLYANION        | ) |                      |
|         | CONJUGATES AND METHODS OF USE | ) |                      |
|         | THEREOF IN DETECTING ANALYTES | ) |                      |
|         |                               |   |                      |

#### TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In regard to the above identified application.

- 1. We are transmitting herewith the attached:
  - a) Supplemental Information Disclosure Statement (2 pages)
  - b) U.S. PTO 1449 Form (3 pages)
  - c) Copies of 49 cited references
  - d) Return Receipt Postcard
- 2. With respect to fees:
  - a) No check is attached.
  - b) <u>General Authorization:</u> Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.
- 3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this day of February, 2004.

Date: February 1, 2004

Emily Miao

Registration No. 35,285

Respectfully submitted,

McDonnell Boehnen Hulbert & Berghoff 300 South Wacker Drive, 32nd Floor

Chicago, IL 60606 Telephone: (312) 913-0001 Fax: (312) 913-0002

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 01-1633-A)

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|---------|-----------------------------|---|----------------------|
|         | James J. Storhoff, et al.   | ) |                      |
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|         | OF USE THEREOF IN DETECTING | ) |                      |
|         | ANALYTES                    | ) |                      |

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In order to comply with discretionary regulations 37 CFR §§1.97 and 1.98, attached hereto is Form PTO-1449, copies<sup>1</sup> of the documents listed thereon. These documents contain information which the Examiner may consider to be important in deciding whether to allow the present application to issue as a patent.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history

<sup>&</sup>lt;sup>1</sup>To the extent that a document is listed and no copy of same is attached, then such document is not at the present time available to the undersigned or is available in the file of a parent application. If a listed document is not in the English language and an English translation is readily available, such translation is also attached; if translation is not attached it is not readily available to the undersigned. If a foreign language patent document is cited, and an English language equivalent is known to the undersigned, then such equivalent patent is also cited on the attached form along with the corresponding foreign language patent and a connecting arrow indicated therebetween; if no such English language equivalent is cited, then none is known to undersigned.

of the present application by initialing on Form PTO-1449. Such initialing is requested even if the

Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or

otherwise does not consider it to be prior art for any reason, or even if the Examiner does not

believe that the guidelines for citation have been fully complied with. This is requested so that each

document becomes listed on the face of the patent issuing on the present application.

The present Disclosure Statement is being submitted in compliance with 37 CFR 1.56

insofar as an Examiner might consider any of the cited documents important in deciding whether to

allow the application to issue as a patent, but the citation of each document is not to be construed as

an admission that such document is necessarily relevant or prior art. No representation is intended

that the cited documents represent the results of a complete search, and it is anticipated that the

Examiner, in the normal course of examination, will make an independent search and will

determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of each

search, will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is earnestly solicited.

Dated: February

Respectfully submitted,

**Emily Miao** 

Registration No. 35,285

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| <b>FORM PTO-1449</b> |
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(Use several sheets if necessary)

01-1633-A

Serial No.

10/612,422

#### Applicant:

James H. Storhoff, et al.

Filing Date:

Group:

July 02, 2003

1645

#### **U.S. PATENT DOCUMENTS**

| Examiner<br>Initial |    | Document Number | Date       | Name              | Class | Subclass | Filing<br>Date if<br>Appropriate |
|---------------------|----|-----------------|------------|-------------------|-------|----------|----------------------------------|
|                     | 1. | 5,472,881       | 12/05/1995 | Beebe et al.      |       |          |                                  |
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|                     | 3. | 6,214,560       | 04/10/2001 | Yguerabide et al. |       |          |                                  |
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|                     | 5. | 6,506,564       | 01/14/2003 | Mirkin et al.     |       |          |                                  |

#### **FOREIGN PATENT DOCUMENTS**

| • |    | Document Number | Date       | Country | Class | Subclass | Trans<br>Yes | lation<br>No |
|---|----|-----------------|------------|---------|-------|----------|--------------|--------------|
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|   | 7. | WO 98/21587     | 05/22/1998 | PCT     |       |          |              |              |

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**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

| FORM PTO-1449<br>(Rev. 2-32) |
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### U.S. Department of Commerce Patent and Trademark Office

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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| Atty. | Docket | No. |
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01-1633-A

Serial No.

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#### Applicant:

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